#### Decision-making of Online Rescheduling Procedures Using Neuroevolution of Augmenting Topologies

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# Introduction: Online Rescheduling Decisions





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#### Questions

- How far ahead should we schedule (i.e. horizon length)?
- When should we reschedule?
- Periodic or event-triggered?
- Mathematical programming or metaheuristics?



#### Outline

- Reinforcement learning (RL)
  - Introduction
  - Neuroevolution of Augmenting Topologies (NEAT)
- Proposed approach
- Test case & periodic rescheduling as a reference method
- Conclusions



#### **Reinforcement Learning**



# **Reinforcement Learning**

- One of the three main branches of machine learning
  - Along with supervised and unsupervised learning
- A goal-seeking agent learns while interacting with an environment
- Exploration and exploitation
- A wide variety of different algorithms
  - Q-learning, SARSA, Deep Q Network, etc.





# **Neuroevolution of Augmenting Topologies (NEAT)**

- First proposed by Stanley and Miikkulainen (2002)
- A genetic algorithm that simultaneously evolves the topology and weighting parameters of a neural network



Floreano et al. (2008)



# Neuroevolution of Augmenting Topologies (NEAT) (cont'd)

- Complexity of neural network (NN) is minimized
  - Initiated from very simple NNs
  - The complexity of NNs is incrementally increased during the evolution
- The performance is reported to compare well against gradientbased backpropagation algorithms (Such et al., 2017)
- Hausknecht et al. (2014) applied NEAT to train a neural network to play 61 different Atari 2600 games



### **RL in Scheduling**

- Learning dispatching rules from historical scheduling data
  - Olafsson & Li (2010)
  - Ingimundardottir & Runarsson (2018)
  - ...
- Learning to make explicit scheduling decisions in a simulated environment
  - Šemrov et al. (2016)
  - Atallah et al. (2019)
  - ...



#### **Proposed Approach**



### **Agent & Environment**





# **Agent & Environment**





#### **Proposed Approach**









### **Proposed Approach**



# the choice of scheduling algorithm



#### Test Case Using Periodic Rescheduling



# **Optimization Problem**

- A vehicle visits sites with due dates
  - With a constant speed of 10 m/s
- The objective is to minimize the delay sum of all visits
- Optimizer: ant colony optimization (ACO)
- New information is obtained during the process
  - Rescheduling required
- We use the rescheduling interval of 50 s, horizon length of 500 s, and allocate 5 s computational budget to each rescheduling







### **Small-scale Example: Initial Route**

- $T_{info} = \mathbf{0} \mathbf{s}$
- $T_{\text{exe}} = \mathbf{0} \mathbf{s}$
- Generated by greedy search (known due dates only)



#### Small-scale Example: 1<sup>st</sup> ACO Run

- $T_{info} = 0 s$
- $T_{\text{exe}} = 5 \text{ s}$
- The red point at the bottom is prioritized

1000500800 -400S Northing [m]  $\frac{10000}{1000}$ 600 400 200 100 $0^{+}_{0}$  $\left( \right)$ 750 1000 250500Easting [m]

location of the vehicle at  $T_{info}$ 

) location of the vehicle at  $T_{\rm exe}$ 

#### Small-scale Example: 1<sup>st</sup> New Site

- $T_{info} = \mathbf{0} \mathbf{s}$
- $T_{\rm exe} = 5 \, \rm s$

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### Small-scale Example: 2<sup>nd</sup> ACO Run

- $T_{info} = 50 s$
- $T_{\rm exe} = 55 \, {\rm s}$
- A new point is included in the route
- Many changes

location of the vehicle at  $T_{info}$ location of the vehicle at  $T_{exe}$ 



Wany changes route included in the

s 02 = <sub>ohi</sub>T + s 22 = <sub>sco</sub>T + s friiog wan A +



#### Small-scale Example: 3<sup>rd</sup> ACO Run

- $T_{info} = 100 s$
- $T_{\rm exe} = 105 \, {\rm s}$

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#### Small-scale Example: 4<sup>th</sup> ACO Run

- $T_{info} = 150 s$
- $T_{\rm exe} = 155 \, {\rm s}$



location of the vehicle at  $T_{exe}$ 

#### Small-scale Example: 2<sup>nd</sup> New Site

- $T_{info} = 150 s$
- $T_{\rm exe} = 155 \, {\rm s}$



location of the vehicle at  $T_{info}$ 

**)** location of the vehicle at  $T_{\text{exe}}$ 

### Small-scale Example: 5<sup>th</sup> ACO Run

- $T_{info} = 200 s$
- $T_{\rm exe} = 205 \, {\rm s}$
- A new point is included in the schedule
- A minimal change in the schedule
  - location of the vehicle at *T*<sub>info</sub>
  - **)** location of the vehicle at  $T_{\text{exe}}$





#### Small-scale Example: 6<sup>th</sup> ACO Run

- $T_{info} = 250 s$
- $T_{\rm exe} = 255 \, {\rm s}$



location of the vehicle at  $T_{info}$ 

**)** location of the vehicle at  $T_{\text{exe}}$ 



#### Small-scale Example: 7<sup>th</sup> ACO Run

- $T_{\rm info} = 300 \, {\rm s}$
- $T_{\rm exe} = 305 \, {\rm s}$

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#### Small-scale Example: 8th ACO Run

- $T_{info} = 300 s$
- $T_{\rm exe} = 305 \, {\rm s}$



location of the vehicle at  $T_{info}$ 

**)** location of the vehicle at  $T_{\text{exe}}$ 

#### **Small-scale Example: Final Route**



### Large-scale Test Case: Optimization Problem

- All due dates are randomly drawn from the uniform distribution of [0,1000 s]
- A total of 50 sites
  - 40 sites are known at  $t_{info} = 0$  s
  - The information of the remaining 10 sites arrive during the process
- Computational budget for rescheduling is restricted to 50 s





# Large-scale Test Case: Parameter Tuning

- Grid search in the space of horizon length and rescheduling interval
- In total 66 combinations
- The optimized parameters are (circled)
  - Horizon length of 500 s
  - Rescheduling interval of 120 s



(the darkest red points exceed the scale)



#### Large-scale Test Case: **Representative States**



(b)  $t_{info} = 240 \text{ s}, t_{exe} = 245.56 \text{ s}$ 

- (c) final realized route
- Optimized scheduling interval yields [1000 s / 120 s] = 9rescheduling procedures
- The final delay sum is 992.89 s

#### Conclusions

- We propose an approach where
  - The process and scheduling optimization together form the environment in reinforcement learning
  - An agent is trained by NEAT to make the rescheduling decisions
- Test case
  - New information causing minor or major recourse is demonstrated
  - We tuned the horizon length and rescheduling interval of periodic rescheduling (reference method)
- Future work
  - Investigate the proposed approach on the test case



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