

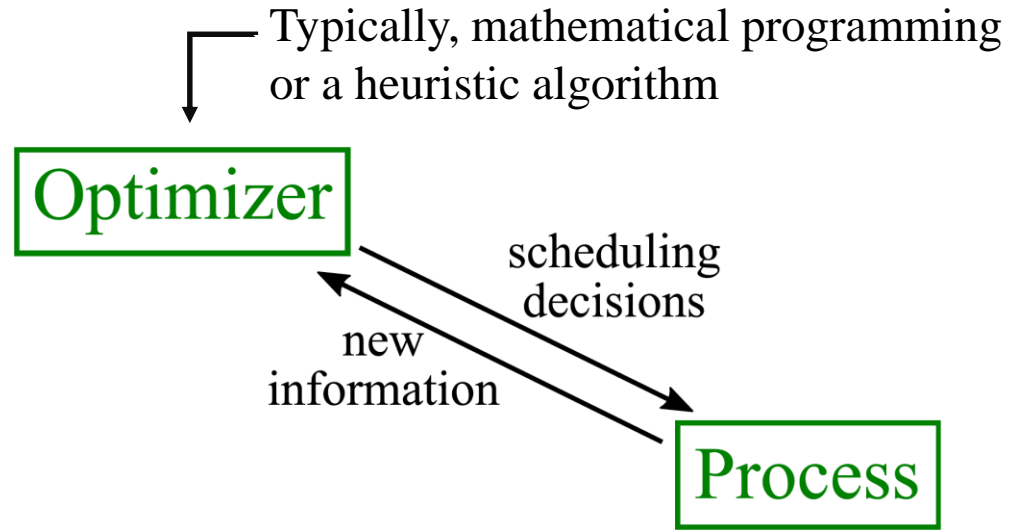
Decision-making of Online Rescheduling Procedures Using Neuroevolution of Augmenting Topologies

Teemu Ikonen, Keijo Heljanko and Iiro Harjunkoski



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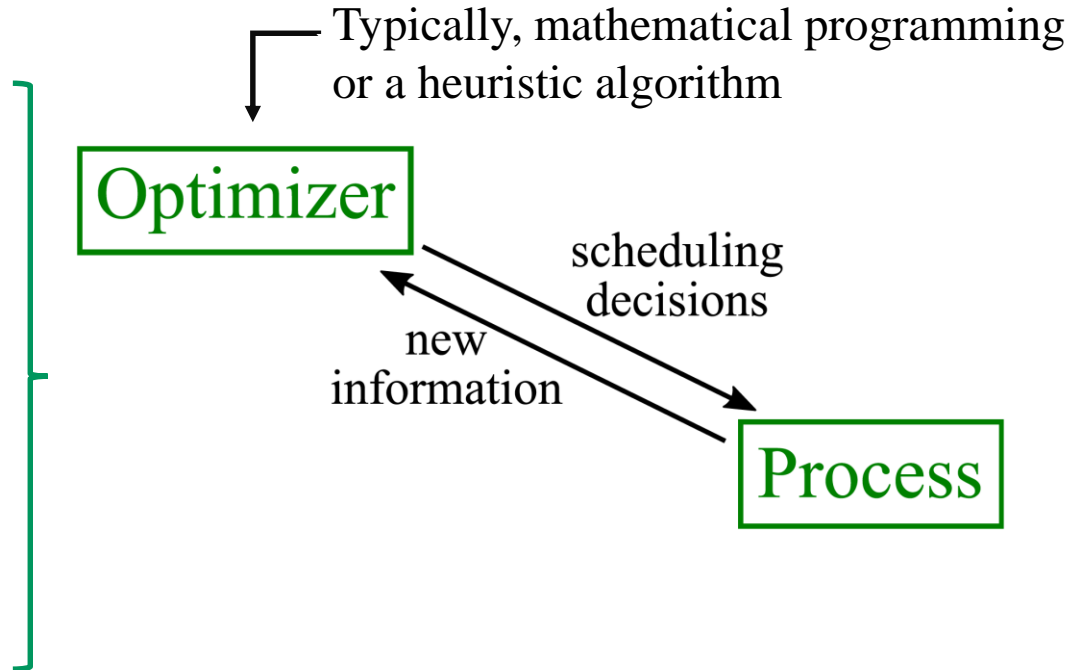
Introduction: Online Rescheduling Decisions



Introduction: Online Rescheduling Decisions

Questions:

- When to trigger a new rescheduling procedure?
- Mathematical programming or a heuristic algorithm?
- How far ahead to schedule (i.e. horizon length)?
- How much computing resource to allocate?



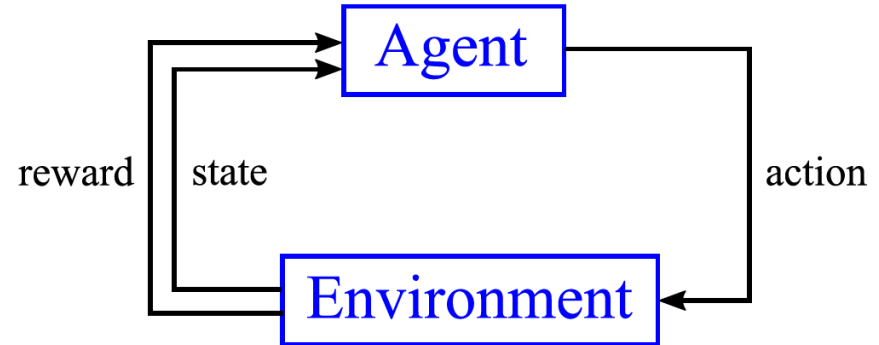
Outline

- **Reinforcement learning (RL)**
 - Introduction
 - Neuroevolution of Augmenting Topologies (NEAT)
- **Proposed approach**
- **Test case**
 - Periodic rescheduling (benchmark)
 - NEAT agent
- **Conclusions**

Reinforcement Learning

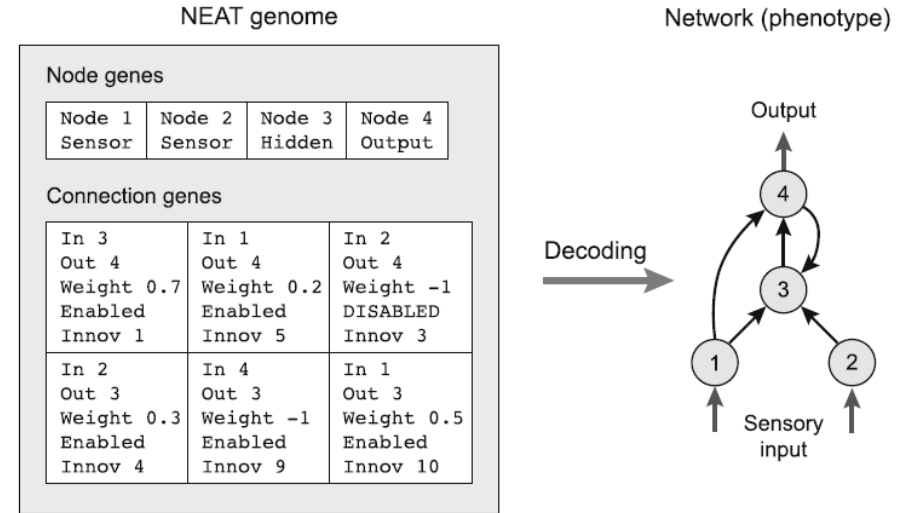
Reinforcement Learning

- **One of the three main branches of machine learning**
 - Along with supervised and unsupervised learning
- **A goal-seeking agent learns while interacting with an environment**
- **Exploration and exploitation**
- **A wide variety of different algorithms**
 - Q-learning (Watkins & Dayan, 1992)
 - Deep Q-Network (Mnih et al., 2015)
 - NEAT (Stanley & Miikkulainen, 2002)
 - trust region policy optimization (Schulman et al., 2015), etc.



Neuroevolution of Augmenting Topologies (NEAT)

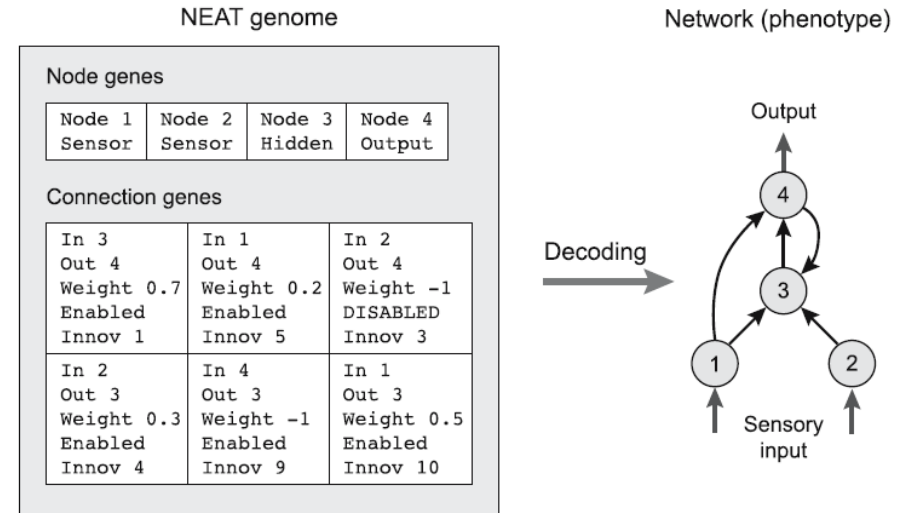
- First proposed by Stanley and Miikkulainen (2002)
- A genetic algorithm that simultaneously evolves the topology and weighting parameters of a neural network



Graph: Floreano et al., (2005)

Neuroevolution of Augmenting Topologies (NEAT)

- **Complexity of neural network (NN) is minimized**
 - Initiated from very simple NNs
 - The complexity of NNs is incrementally increased during the evolution
- **The performance is reported to compare well against gradient-based backpropagation algorithms (Such et al., 2017)**



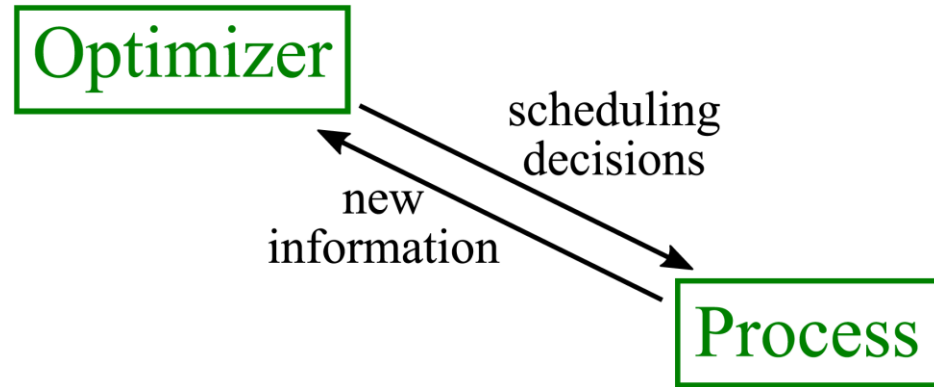
Graph: Floreano et al., (2005)

RL in Scheduling

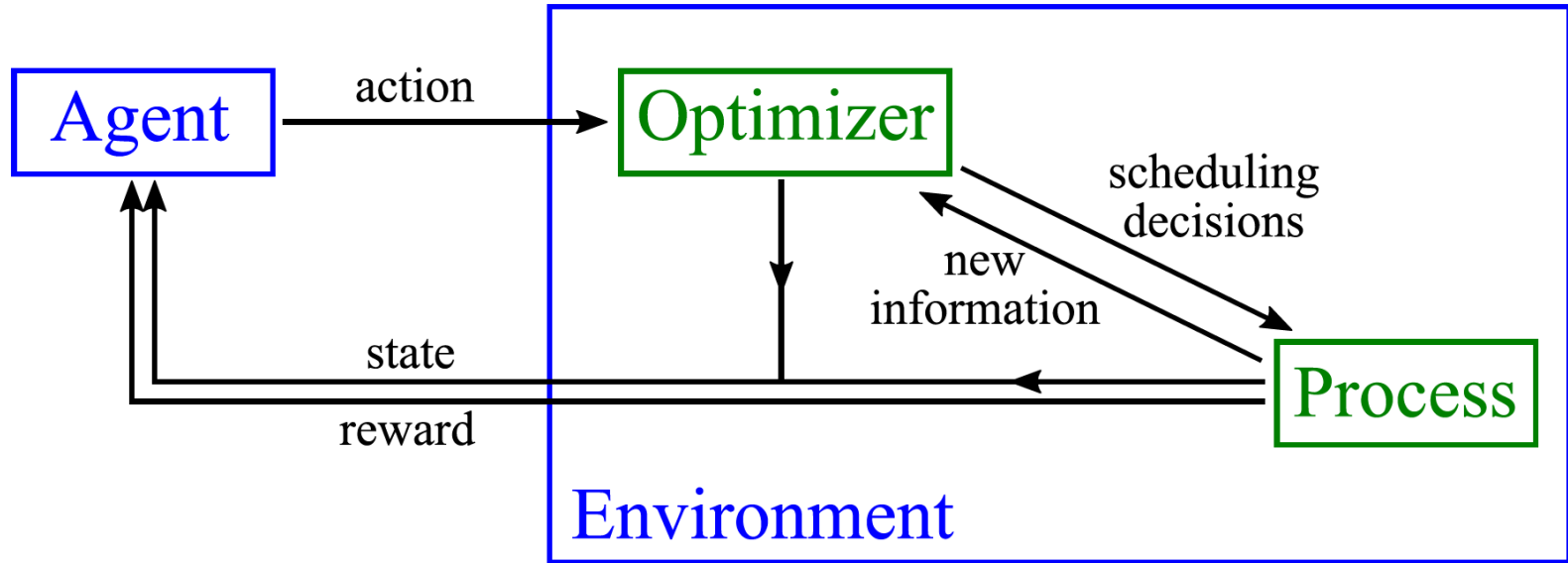
- **RL has been used to**
 - make explicit scheduling decisions (Semrov et al., 2016, Atallah et al., 2018)
 - repair outdated schedules (Palombarini & Martinez, 2012)
 - define dispatching rules from historical scheduling data (Priore et al., 2014, Aydin et al. 2000)

Proposed Approach

Proposed Approach



Proposed Approach



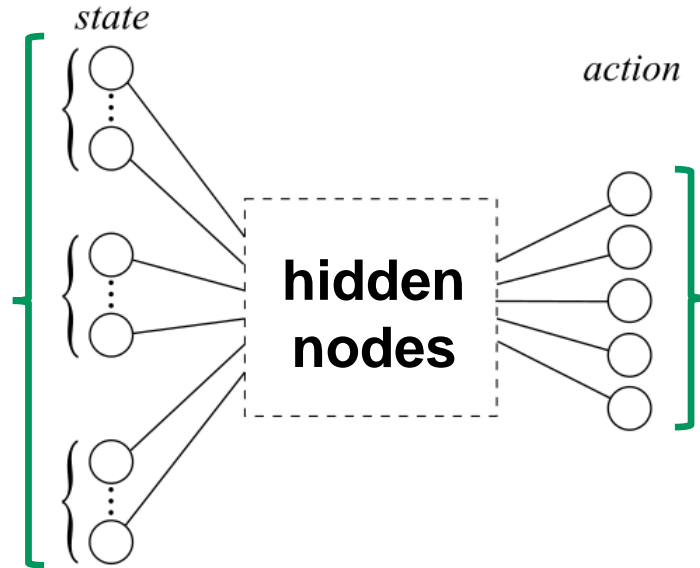
Proposed Approach

Agent's decisions (i.e., actions on the optimizer):

- When to trigger a new rescheduling procedure? Action 1
- Mathematical programming or a heuristic algorithm? Action 2
- How far ahead to schedule (i.e. horizon length)? Action 3
- How much computing resource to allocate? Action 4

Proposed Approach

changes in the
environment (i.e.
the process and
the optimizer



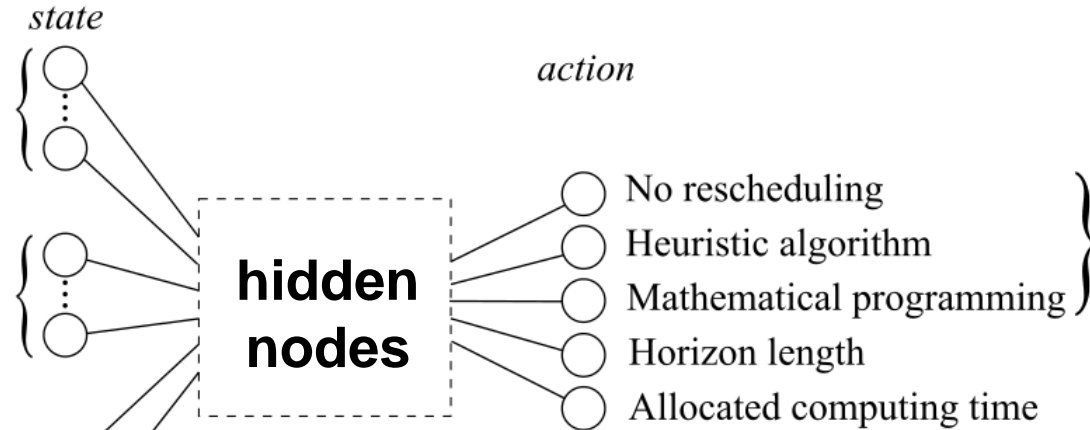
rescheduling
decisions

Proposed Approach

Category 1: deviations in optimization parameters (e.g., processing times, material yields)

Category 2: discrete changes in the process environment (e.g., new orders, equipment breakdowns)

Category 3: state of the optimizer (e.g., remaining computing resource, remaining solution time of the ongoing rescheduling)

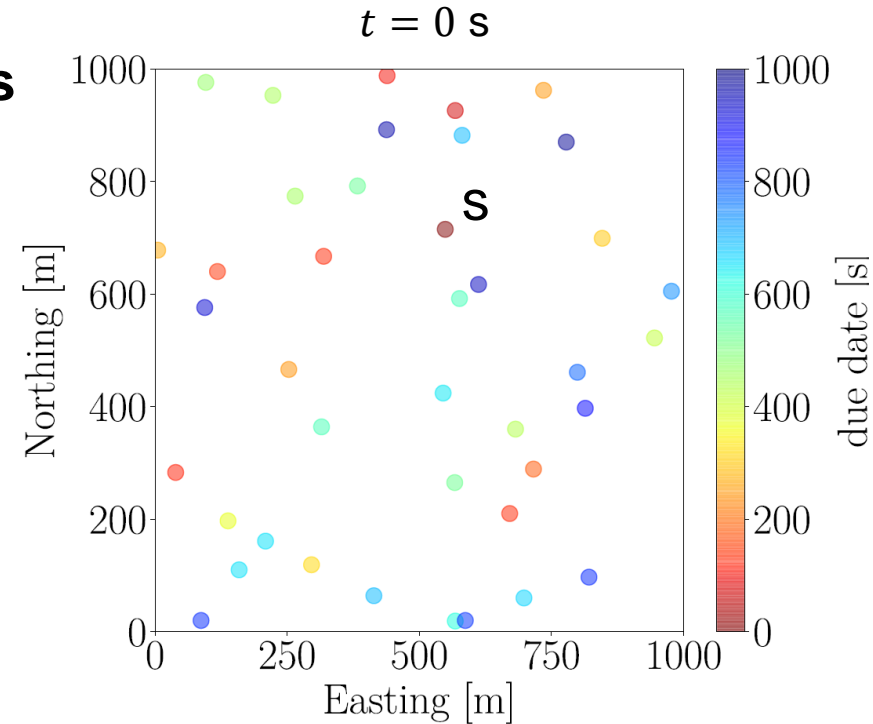


rescheduling timing and optimization strategy (Decisions 1 & 2)

Test Case

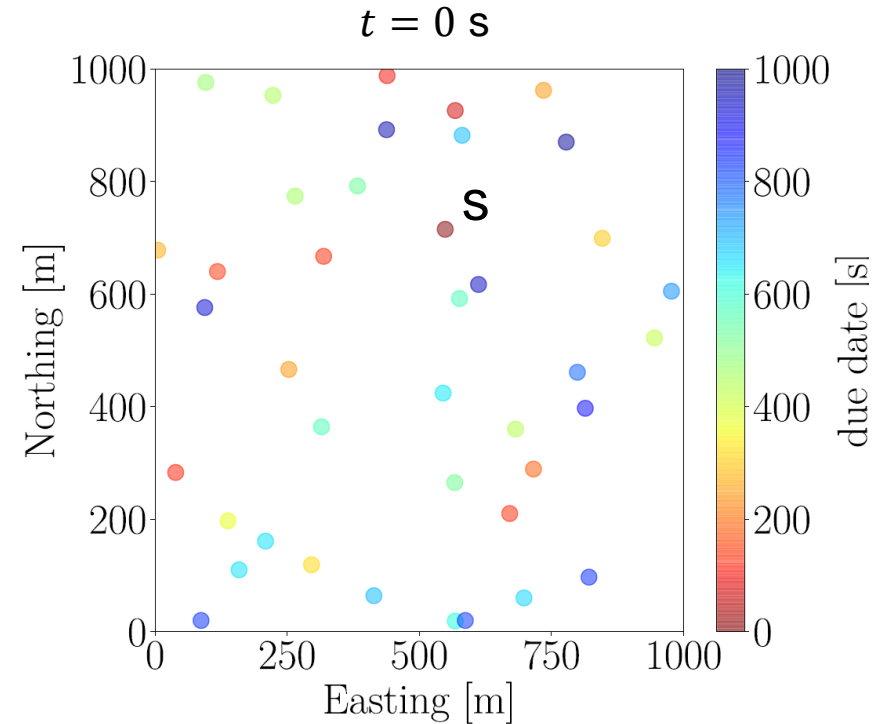
Test Case: Optimization Problem

- A vehicle visits sites with due dates
- The objective is to minimize the delay sum of all visits
- Optimizer: ant colony optimization (ACO)
- Computing budget for all rescheduling procedures: 50 s
- Sites
 - 40 sites are known at $t = 0$ s
 - 10 sites are received during the process



Test Case: Optimization Problem

- Randomly generated locations, order and due dates
- 5 training instances
- 10 test instances



Test Case: Periodic Rescheduling

Location of the vehicle...

- at the **start**



- at the **end**

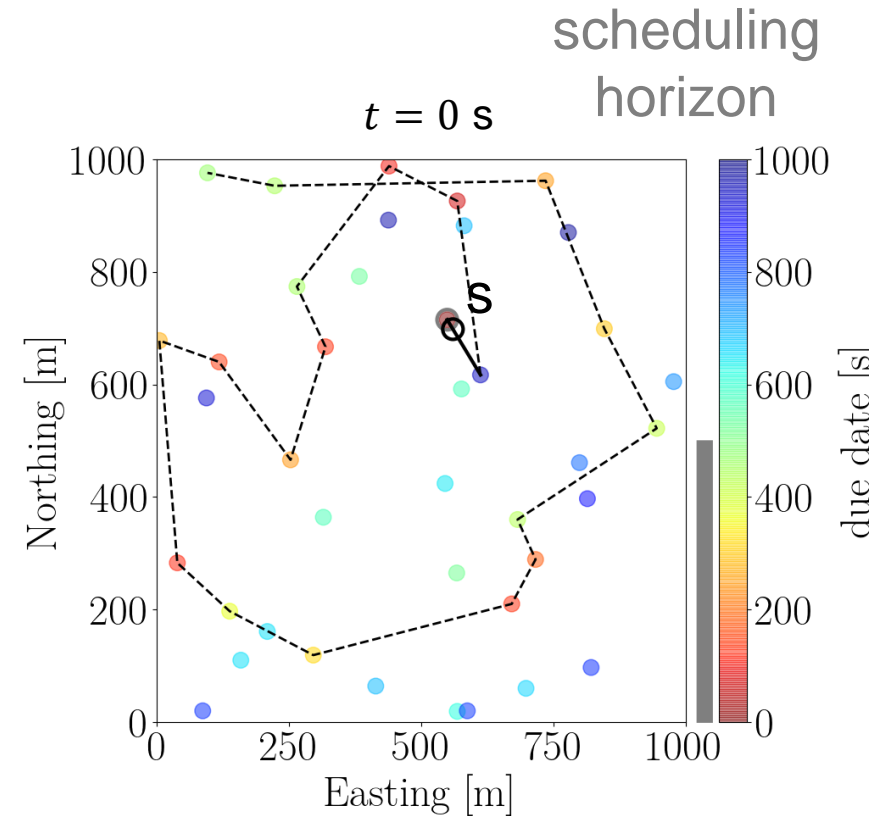


... of the optimization procedure.

- Realized route



- Scheduled route



Test Case: Periodic Rescheduling

Location of the vehicle...

- at the **start**



- at the **end**

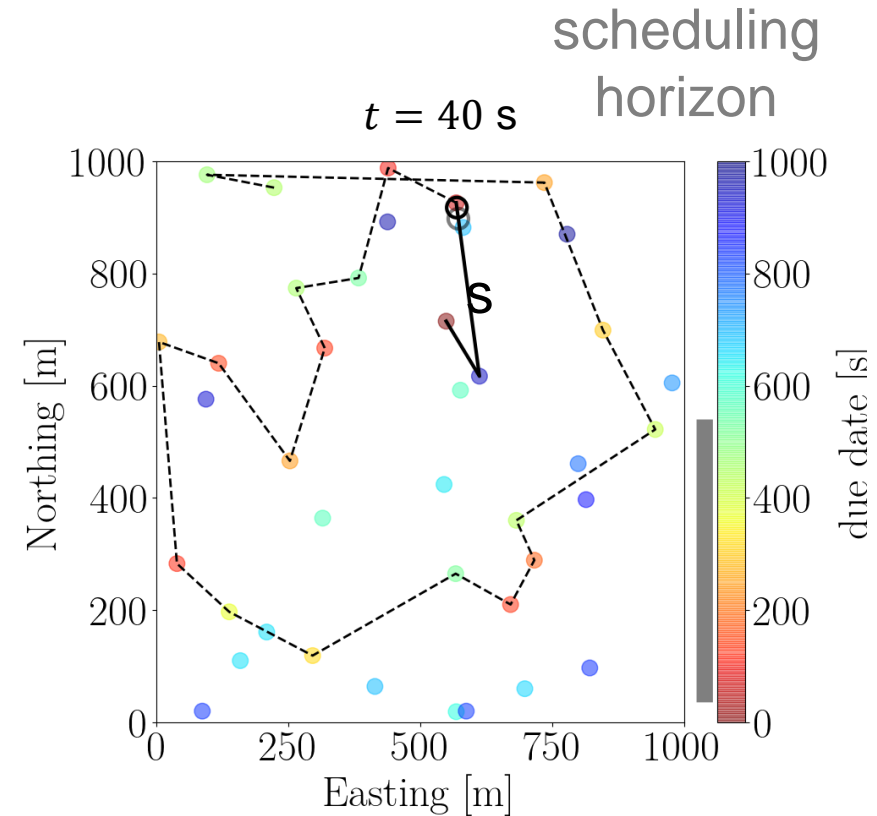


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- Realized route



- Scheduled route



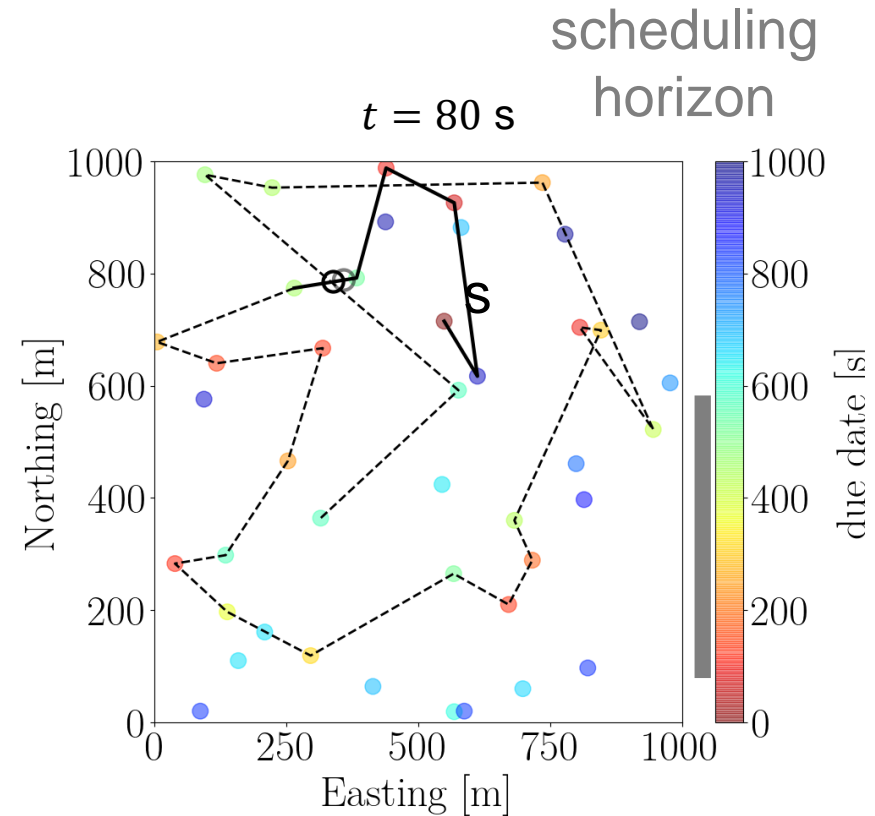
Test Case: Periodic Rescheduling

Location of the vehicle...

- at the **start**
- at the **end**

... of the optimization procedure.

- Realized route
- Scheduled route



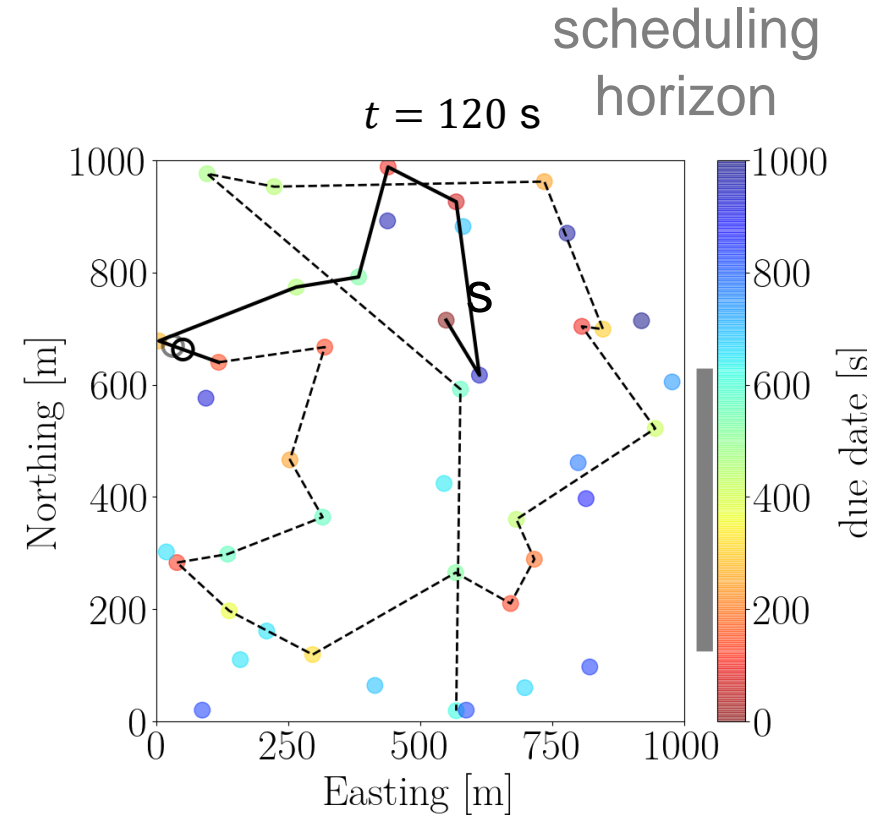
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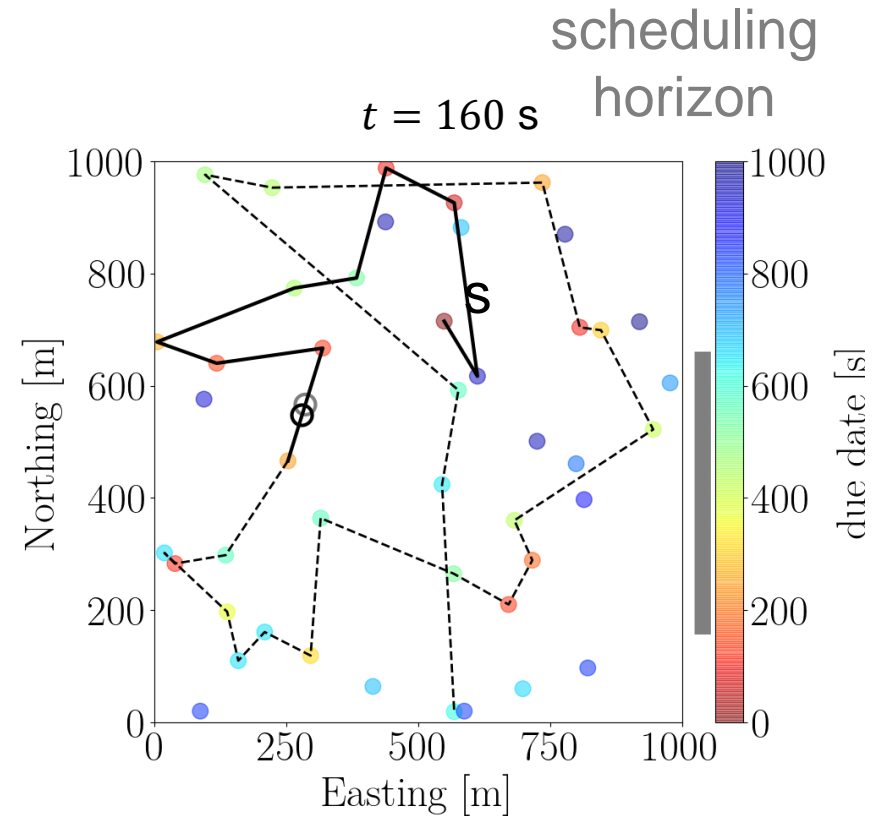
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Location of the vehicle...

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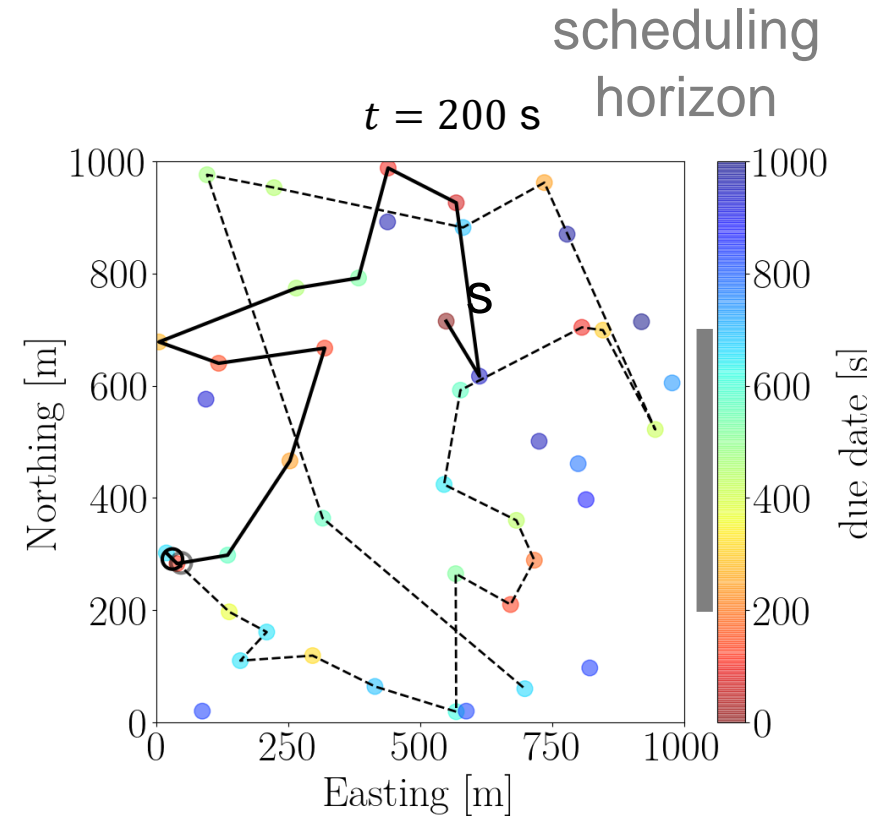


... of the optimization procedure.

- Realized route



- Scheduled route



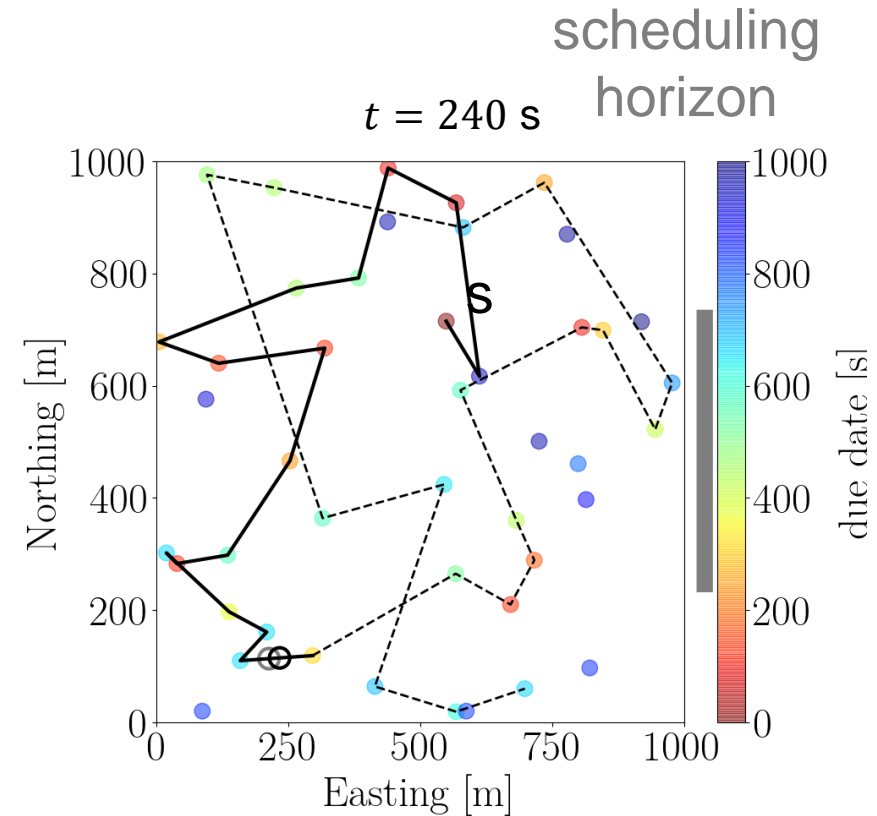
Test Case: Periodic Rescheduling

Location of the vehicle...

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... of the optimization procedure.

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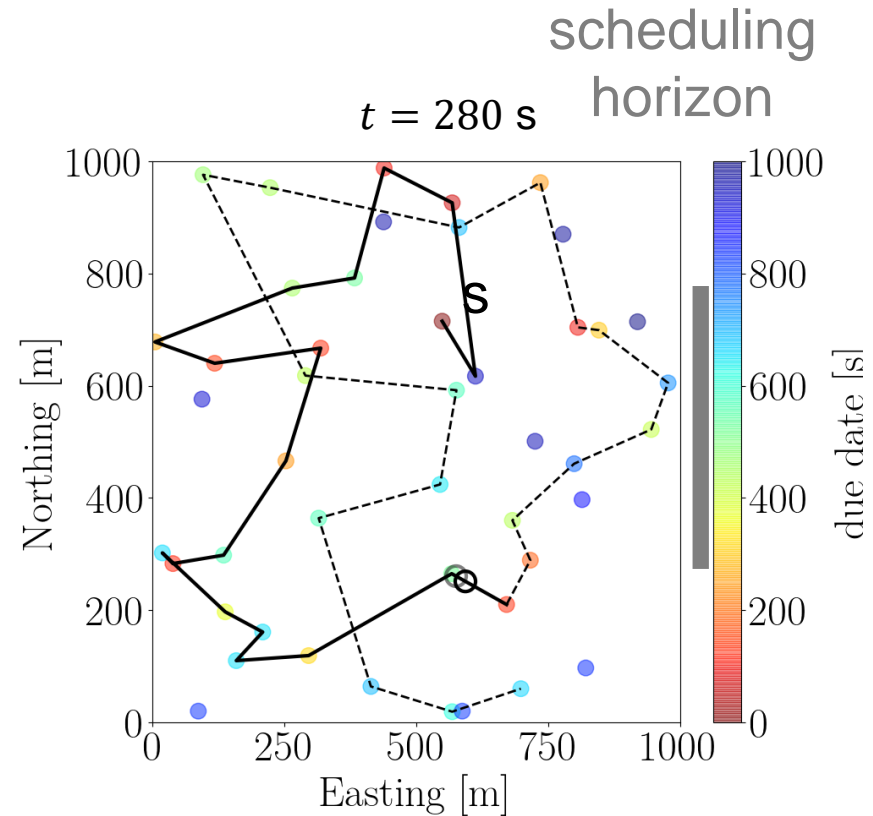
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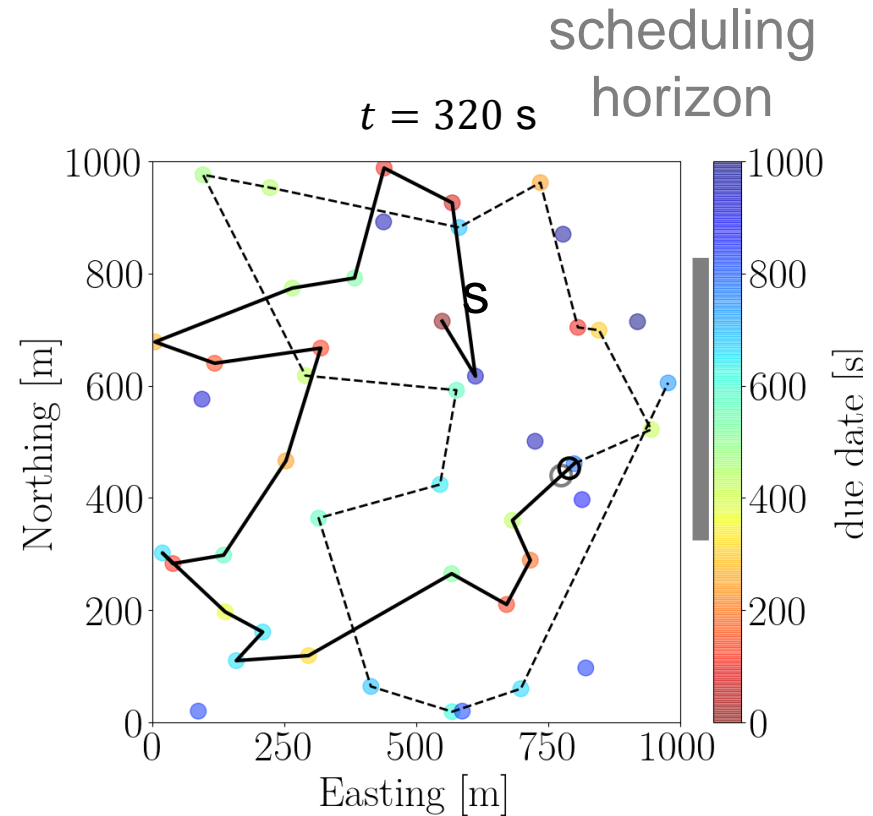
Test Case: Periodic Rescheduling

Location of the vehicle...

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... of the optimization procedure.

- Realized route
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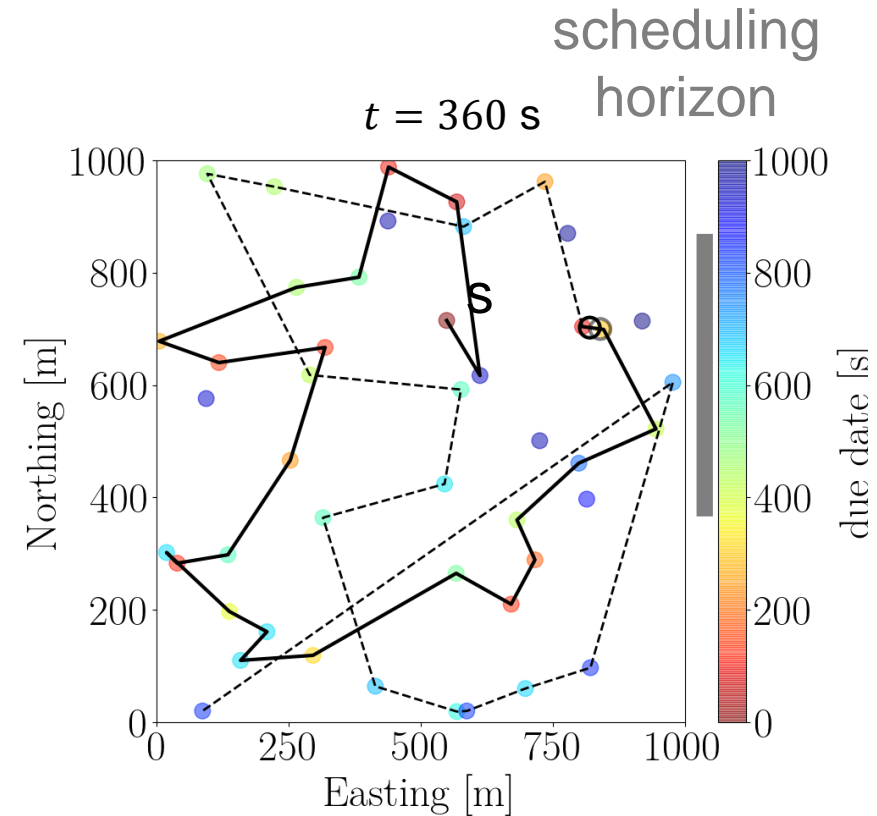
Test Case: Periodic Rescheduling

Location of the vehicle...

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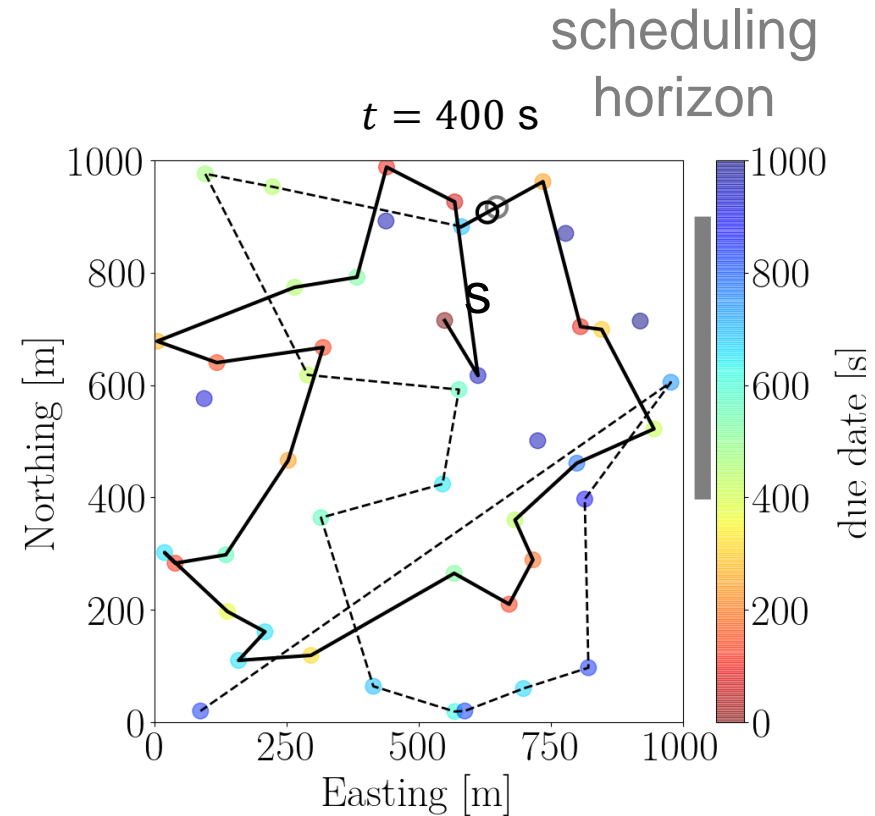
Test Case: Periodic Rescheduling

Location of the vehicle...

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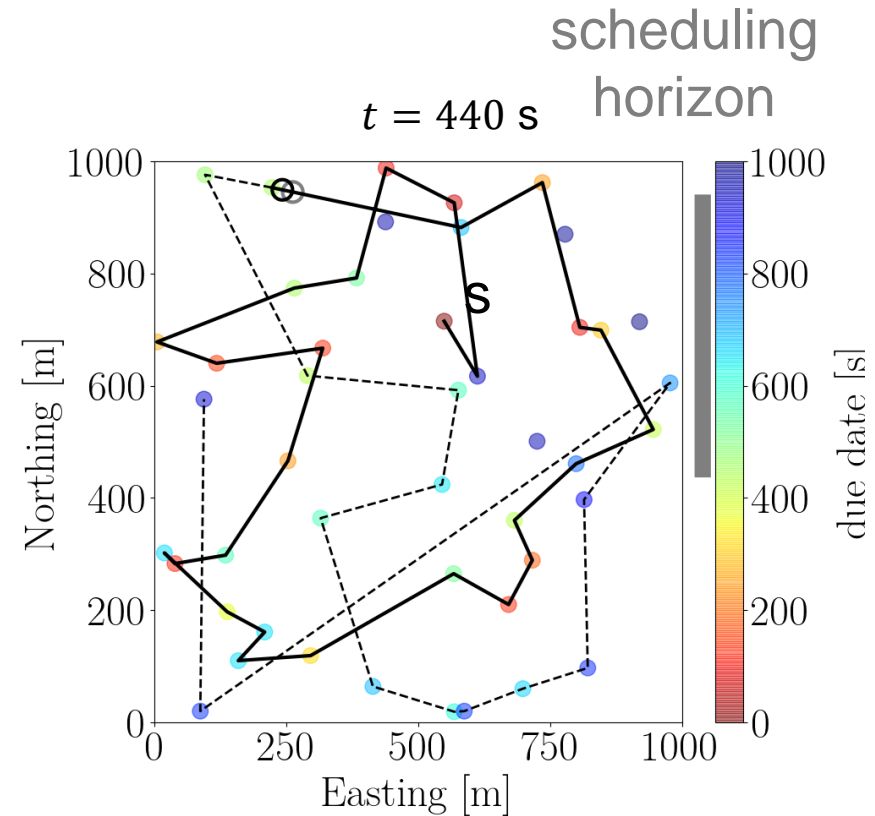
Test Case: Periodic Rescheduling

Location of the vehicle...

- at the **start**
- at the **end**

... of the optimization procedure.

- Realized route
- Scheduled route



Test Case: Periodic Rescheduling

Location of the vehicle...

- at the **start**



- at the **end**

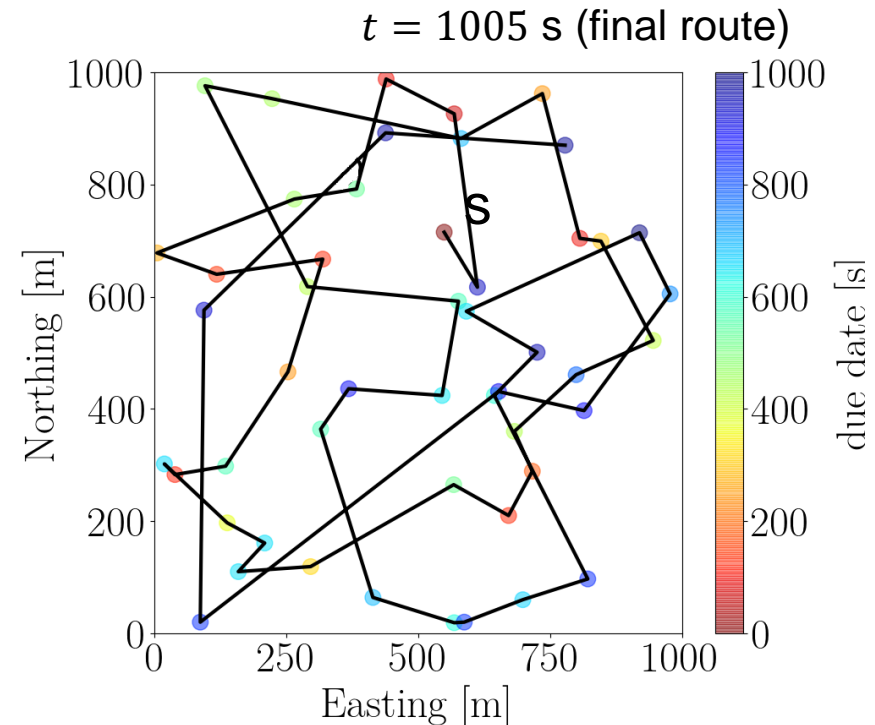


... of the optimization procedure.

- Realized route



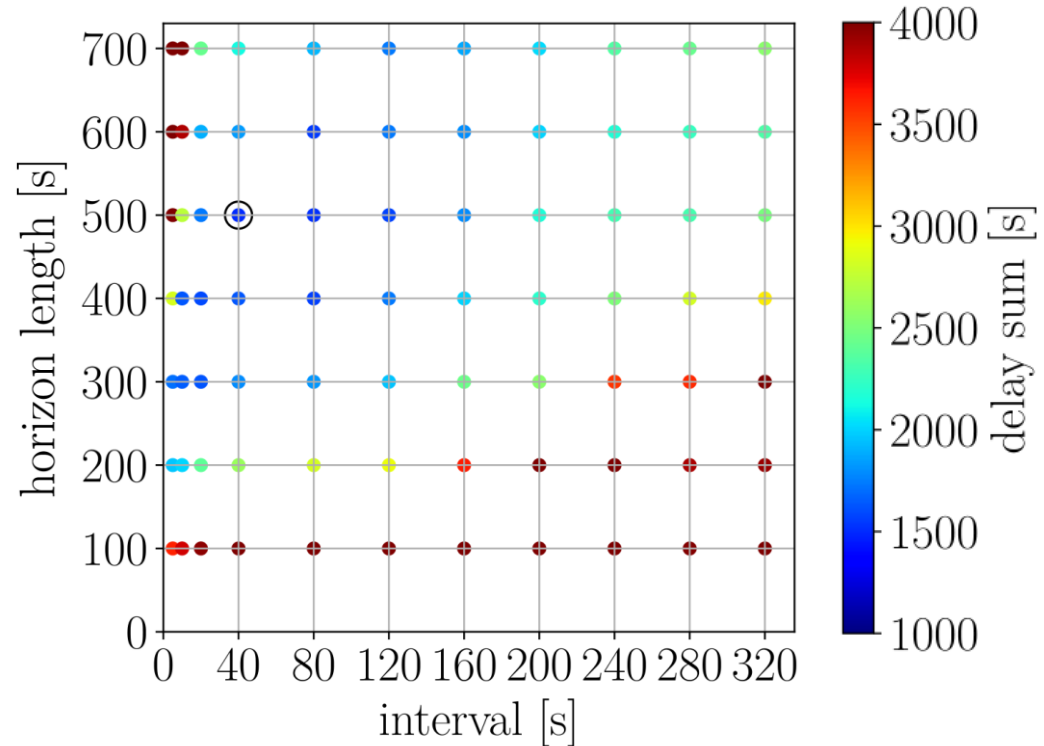
- Scheduled route



Final delay sum is 1504.9 s

Test Case: Parameter Tuning

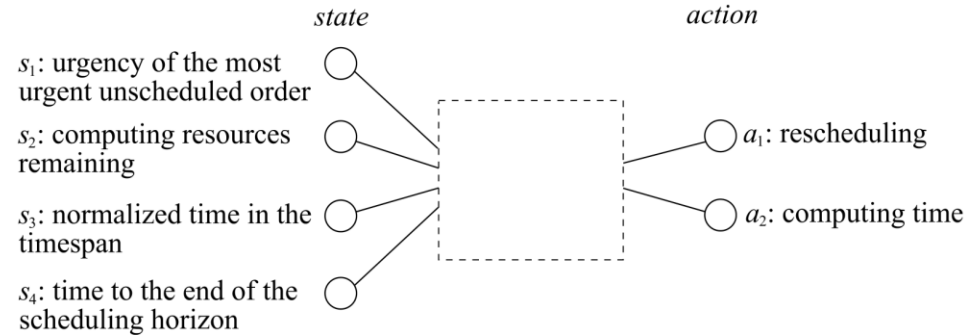
- **Grid search in the space of horizon length and rescheduling interval**
- **Average delay sum of 5 randomized instances of the test case**
- **The optimized parameter combination is circled**



(the darkest red points exceed the scale)

Test Case: State and Action Spaces, and Reward

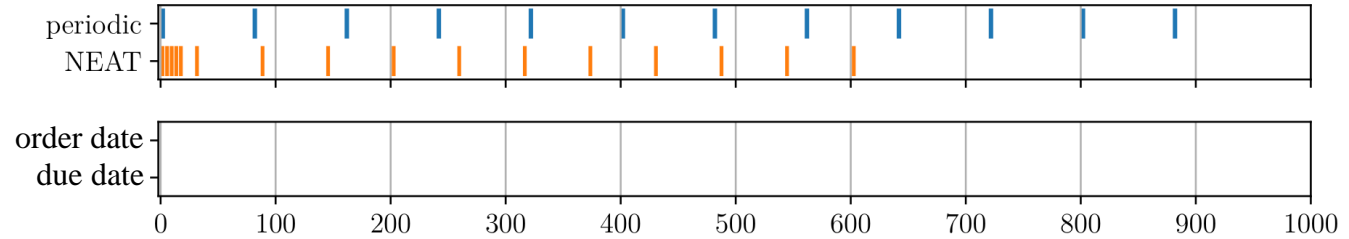
- A simplified version of the approach with two rescheduling decisions
 - Rescheduling timing (a_1)
 - Computing time per procedure (a_2)
- We use the NEAT algorithm
 - Population size of 80
 - 80 generations
 - 20 hours of training on a cluster of 20 CPUs
- The reward is the final delay sum multiplied by -1
- The agent can act at an interval of 1 s



Test Case: Results

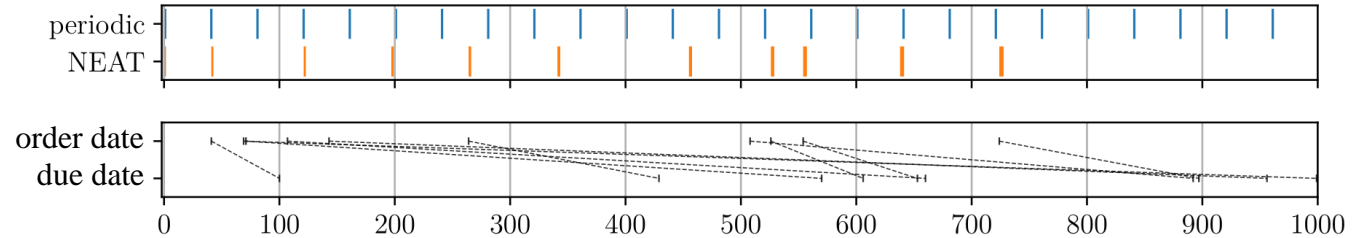
Test case 1:

- new orders 0/50



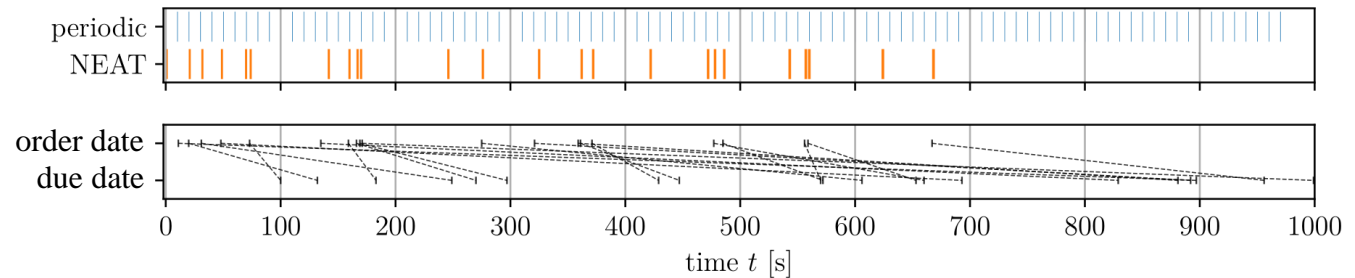
Test case 2:

- new orders 10/50



Test case 3:

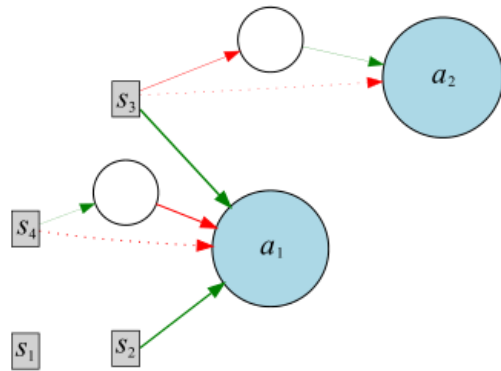
- new orders 20/50



Test Case: Results

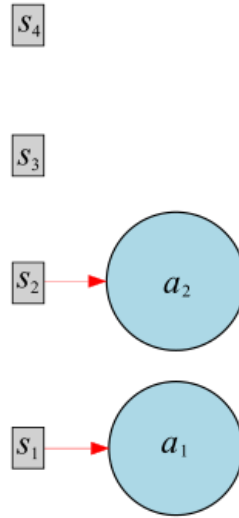
Test case	Rescheduling method	Average delay sum [s]		Difference [%]	
		training instances	test instances	training instances	test instances
1	periodic	1120.7	1233.9		
	NEAT agent	1061.3	1103.5	-5.30	-10.57
2	periodic	1504.9	1524.4		
	NEAT agent	1365.9	1461.8	-9.24	-4.11
3	periodic	1793.0	2095.1		
	NEAT agent	1635.2	1775.0	-8.80	-15.28

Test Case: Neural Network Topologies



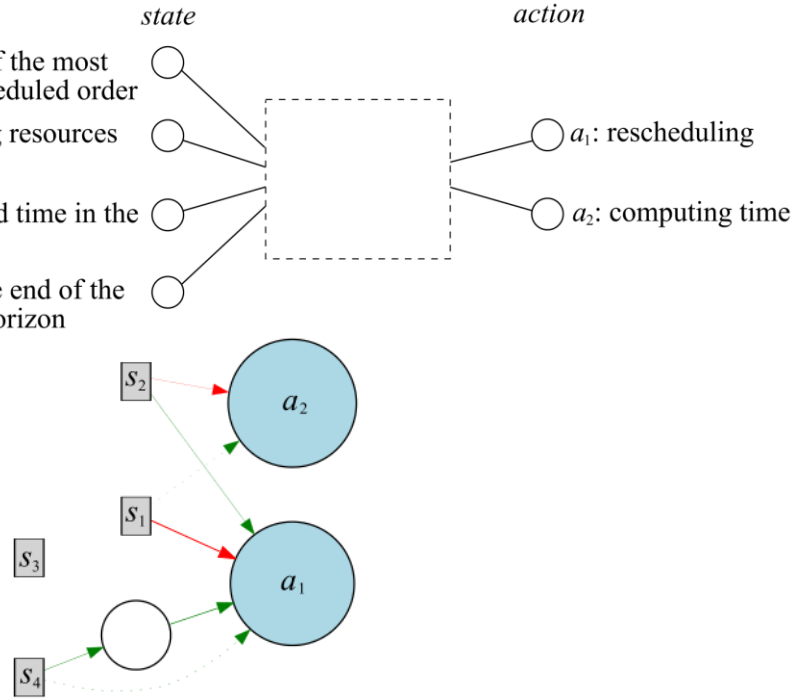
Test case 1:

- new orders 0/50



Test case 2:

- new orders 10/50



Test case 3:

- new orders 20/50

Conclusions

- **We propose an approach where**
 - The process and scheduling optimization together form the environment in reinforcement learning
 - An RL agent is trained to make four decisions on rescheduling procedures
- **In the three test cases, a simplified version of the approach yields, on average, better results than periodic rescheduling**
- **Future work investigates**
 - the approach with all four decisions
 - other RL algorithms for the purpose

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